OFE 40,

IFW/1538

13 Jan . A				Approved	l for use	PTO/SB/21 (09-04) through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.						
& THANK		Application Number	09/935,99			
TRANSMITTAL FORM		Filing Date	August 23	August 23, 2001		
		First Named Inventor	Richard E	Richard E. Smalley et al.		
		Art Unit	1538	1538		
(to be used for all correspondence after initial filing)		Examiner Name	Hoa T. Le	oa T. Le		
Total Number of Pages in This Submission	18	Attorney Docket Number	11321-P0	14US		
ENCLOSURES (Check all that apply)						
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocat Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on Corks	e Address	1) Re	Appea of App Appea (Appea Proprie Status	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Name Winstead Sechrest & Minick P.C.						
Signature						
Printed name Ross Spencer Garsson						
Date December 9, 2005			Reg. No.	38,150		
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria						
VA 22313-1450 on the date shown below:						
Status 3-ped. Date December 9, 2005						
Typed or printed name Beatrice Zepeda Date December 9, 2005						

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard E. Smalley et al.

Group Art Unit:

1773

Serial No.:

09/935,995

Filed:

August 23, 2001

Confirmation No: 1538

Examiner: Hoa T. Le

Title: POLYMER-WRAPPED SINGLE WALL CARBON

NANOTUBES

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on December 9, 2005.

Beatur Zepeda

AMENDMENT UNDER 37 C.F.R. § 1.111

This is in response to the Office Action having a mailing date of September 9, 2005, ("Office Action"), with a three-month shortened statutory period for response set to expire on December 9, 2005. Please amend the above-identified Application as follows:

Amendments to the Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 6 of this paper.